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PATENT U.S. Patent Application No. 09/875,440 Atty. Docket No. PP-01701.002

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITIONS AND ME	THODS FOR TREATING NEOPLASTI	IC DISEASE USING NET-4 MODULATORS	
the specification of who June 5, 2001 and assign on	ned U.S. Patent Application I	tached hereto \underline{x} was filed on No. 09/875,440 and was amended	
I hereby state that I hidentified specification	nave reviewed and understand on, including the claims, as	the contents of the above- amended referred to above.	
this application in acc 1.56(a).	to discolose information which cordance with Title 37, Code	ch is material to the examination of of Federal Regulations, Section	
I hereby claim foreign any foreign application also identified below a	priority benefits under Titalis, for patent or inventor's any foreign application for party	le 35, United States Code, §119 of s certificate listed below and have patent or inventor's certificate n on which priority is claimed:	
Prior Foreign Applicat: Number	ion(s) Country Day/Month/Yea:	r Filed Priority Claimed Yes No	
I hereby claim the benefit under Title 35, United States Code, §120 and/or 119(e) of any United States application(s) and/or provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:			
Application Serial No.	Filing Date	Status Patented, Pending, Abandoned	
60/209,865	June 7, 2000	Abandoned	
		=======================================	

I hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code and that such willful false

statements may jeopardize the validity of the application or any patent issued thereon.

	sole or first inve			ard			***
Inventor's si	gnature <u>((</u>	Reile	nel _		Date 07/3	0/0	<u>1</u>
Residence	Alameda, Califor	nia					
Citizenship _	German						
Post Office A	ddress <u>c/o</u> Chiron	Corporation,	P.O. Box	8097.	Emeryville,	CA	94662-8097
Full name of	second inventor	Anne B. Jeffe	rson				
Inventor's si	gnature				Date		
Residence	Oakland, Califor	nia					
Citizenship _	United States of	America					
Post Office A	ddress <u>c/o Chiron</u>	Corporation,	P.O. Box	8097,	Emeryville,	CA	94662-8097
Full name of	third inventor	Gill A. Winter					
Inventor's si	gnature				Date		
Residence	Richmond, Califo	ornia					
Citizenship _	United States of	America					
Post Office A	ddress <u>c/o Chiron</u>	Corporation,	P.O. Box	8097,	Emeryville,	CA	94662-8097
Full name of	fourth inventor	Filippo Randa	zzo			·	
Inventor's si	gnature				Date		
Residence	Oakland, Califor	nia					
Citizenship _	United States of	America					
Post Office A	ddress c/o Chiron	Corporation	P.O. Box	8097.	Emervville.	CA	94662-8097



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encicled.					
COMPOSITIONS AND M	ETHODS FOR TREA	TING NEOPLAST	IC DISEASE (USING NET-4	MODULATORS
the specification of w June 5, 2001 and assig	ned U.S. Paten	t Application	tached here	to <u>x</u> wa 440 and was	as filed on s amended
I hereby state that I identified specificati	have reviewed on, including	and understand the claims, as	the contendamended re	ts of the a ferred to a	above- above.
I acknowledge the duty this application in ac 1.56(a).	ccordance with '	Fitle 37, Code	of Federal	Regulation	
I hereby claim foreign any foreign application also identified below having a filing date h	on(s) for paten any foreign apports of	or inventor's	s certifica patent or i	te listed by nventor's comprised in the priority is	pelow and have certificate claimed:
Prior Foreign Applicat Number	cion(s) Country	Day/Month/Yea:	r Filed	Priority (Yes	<u>No</u>
I hereby claim the ber any United States appl insofar as the subject disclosed in the prior paragraph of Title 35, material information a which occurred between PCT international fili	nefit under Tit. lication(s) and matter of each United States United States as defined in Ti	le 35, United 3 or provisional of the claims application in Code, §112, I itle 37, Code of the prior	States Code l application s of this application n the manner acknowledge of Federal 1	, §120 and/ on(s) liste pplication r provided e the duty Regulations	for 119(e) of ed below and, is not by the first to disclose
Application Serial No.	Filing Date		Patented, 1	Status Pending, Ab	pandoned
60/209,865	June 7, 2000			Abandoned	
=======================================	.===±±=========	.=========	=======================================	=========	======
T homeby dealers that	all statements	herein of my	own knowled	re are true	and that all

I hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code and that such willful false

statements may jeopardize the validity of the application or any patent issued thereon.

Full name of	sole or first inventor <u>C</u>	hristoph 1	Reinhard			
Inventor's si	gnature			Date		
Residence	Alameda, California					
Citizenship _	German					
Post Office A	ddress <u>c/o Chiron Corp</u> ora	tion, P.O	. Box 8097.	Emeryville,	CA	94662-8097
Full name of	second inventor Anne B.	Jefferson				
	gnature and By Oakland, Calif o rnia	Herse	M	Date	g 6,	2001
Citizenship _	United States of America	·				·
Post Office A	ddress <u>c/o Chiron Corpora</u>	tion, P.O	. Box 8097,	Emeryville,	CA	94662-8097
Full name of	third inventorJill A. W	/inter				
Inventor's si	gnature			Date		
Residence	Richmond, California					
Citizenship _	United States of America					
Post Office A	ddress <u>c/o Chiron Corpora</u>	tion, P.O.	. Box 8097,	Emeryville,	CA	94662-8097
Full name of	fourth inventor Filippo	Randazzo				
Inventor's sig	gnature			Date		
	Oakland, California					
Citizenship _	United States of America					
Dogt Office A	ddress c/o Chiron Corpora	tion P.O.	Box 8097	Emervville	CD	94662-8097



U.S. Patent Application No. 09/875,440 Atty. Docket No. PP-01701.002

DECLARATION FOR PATENT APPLICATION



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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITIONS AND METHODS FOR TREATING NEOPLASTIC DISEASE USING NET-4 MODULATORS

the specification of which (check one) is attached heretox was filed on une 5, 2001 and assigned U.S. Patent Application No. 09/875,440 and was amended on (if applicable).
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended referred to above.
I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).
I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:
Prior Foreign Application(s) Priority Claimed
Number Country Day/Month/Year Filed Yes No
I hereby claim the benefit under Title 35, United States Code, §120 and/or 119(e) of any United States application(s) and/or provisional application(s) listed below and,

I hereby claim the benefit under Title 35, United States Code, \$120 and/or 119(e) of any United States application(s) and/or provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status Patented, Pending, Abandoned
60/209,865	June 7, 2000	Abandoned

I hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code and that such willful false

thereon. Full name of sole or first inventor Christoph Reinhard Date Inventor's signature Residence Alameda, California Citizenship German Post Office Address c/o Chiron Corporation, P.O. Box 8097. Emeryville, CA 94662-8097 Full name of second inventor Anne B. Jefferson Inventor's signature Residence Oakland, California Citizenship United States of America Post Office Address <u>c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA</u> 94662-8097 Full name of third inventor Jill A. Winter Date July 30, 01 Inventor's signature/ Residence Richmond, California Citizenship United States of America Post Office Address _c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA 94662-8097 Full name of fourth inventor Filippo Randazzo Inventor's signature Date Residence Oakland, California Citizenship United States of America Post Office Address c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA 94662-8097

statements may jeopardize the validity of the application or any patent issued

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DECLARATION FOR PATENT APPLICATION

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COMPOSITIONS AND MI	ETHODS FOR TREATING NEOPLA	LASTIC DISEASE USING NET-4 MODULATOR	s
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I hereby state that I identified specificati	have reviewed and underst on, including the claims,	tand the contents of the above- , as amended referred to above.	
this application in ac 1.56(a).	to disclose information cordance with Title 37, C	which is material to the examination Code of Federal Regulations, Section	on of
any foreign application also identified below	n(s) for patent or invent any foreign application f	Title 35, United States Code, §119 tor's certificate listed below and h for patent or inventor's certificate ation on which priority is claimed:	ave
Prior Foreign Applicat Number	ion(s) <u>Country</u> <u>Day/Month/</u>	/Year Filed Priority Claimed Yes No	
I hereby claim the benefit under Title 35, United States Code, §120 and/or 119(e) of any United States application(s) and/or provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:			
Application Serial No.	Filing Date	Status Patented, Pending, Abandoned	
60/209,865	June 7, 2000	Abandoned	

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U.S. Patent Application No. 09/875,440 Atty. Docket No. PP-01701.002

thereon. Full name of sole or first inventor Christoph Reinhard Date Inventor's signature ____ Residence Alameda, California Citizenship German Post Office Address <u>c/o Chiron Corporation</u>, P.O. Box 8097. Emeryville, CA 94662-8097 Full name of second inventor Anne B. Jefferson Inventor's signature Residence Oakland, California Citizenship United States of America Post Office Address <u>c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA</u> 94662-8097 Full name of third inventor Jill A. Winter . Inventor's signature ______ Residence Richmond, California Citizenship United States of America Post Office Address c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA 94662-8097 Full name of fourth inventor Filippo Randazzo ____ Date 7/3./01 Inventor's signature Residence Oakland, California Citizenship United States of America Post Office Address c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA 94662-8097

statements may jeopardize the validity of the application or any patent issued

ASSIGNMENT

WHEREAS, We, CHRISTOPH REINHARD, ANNE B. JEFFERSON, JILL A. WINTER and FILIPPO RANDAZZO, hereinafter referred to as ASSIGNORS, have coinvented certain improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: COMPOSITIONS AND METHODS FOR TREATING

NEOPLASTIC DISEASE USING NET-4 MODULATORS

Date of Execution: October 6, 2000, October 6, 2000, October 10, 2000

and October 6, 2000

Filing Date: June 7, 2000

Serial No: 60/209,865

WHEREAS, CHIRON CORPORATION, located at 4560 Horton Street, Emeryville, California 94608-2916, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. § 119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNORS also agree that the U.S. serial number and the filing date may be entered above by ASSIGNEE or its agents upon designation of the serial number and the filing date by the U.S. Patent and Trademark Office.

ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

INVENTOR: CHRISTOPH REINHARD

DATE (0 (06 / 00

Signature

State of California

County of Alamon &

On 10 6 100 before me, Many h sudn Notary Public,

WITNESS my hand and official seal.

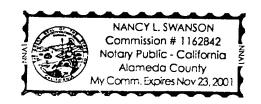
Signature of Notary Public

NANCY L Commission Norary Public Alament My Commission

COPY ONLY DO NOT FORWARD

Notary Seal

ASSIGNMENT BRANCH



PATENT U.S. Provisional No. 60/209,865 Attorney Docket No. PP-01701.001

INVENTOR: ANNE B. JEFFERSON

DATE 10/6/00 Ann B. Afferson
Signature

State of California

County of Alamond

On 6 6 10 before me, Www. Notary Public, personally appeared www. D. Peters personally known to me OR proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Notary Seal

PATENT U.S. Provisional No. 60/209,865 Attorney Docket No. PP-01701.001

11	VENTOR: JILL A. WINTER
DATE 10/10/00	Signature Signature
State of California	
County of Almeria	
On 10/10/00 before me, Vann h	he/spe/they executed the same in y his/her/their signature(s) on the
WITNESS my hand and official seal.	NANCY L. SWANSON Commission # 1162842 Noiary Public - California Alameda County My Comm. Expires Nov 23, 2001
Signature of Notary Public	Notary Seal

INVENTOR: FILIPPO RANDAZZO

DATE	
	Signature
State of California County of Almeno	
On 6 before me, personally appeared for the basis of satisfactory evidence to be within instrument and acknowledged to me the history her/their authorized capacity(ies), and the instrument the person(s), or the entity upon be the instrument.	hat he late they executed the same in at by his her their signature(s) on the
WITNESS my hand and official seal.	NANCY L. SWANSON Commission # 1162842 Notary Public - California Alameda County My Comm. Expires Nov 23, 2001
Signature of Wotary Public	Notary Seal